

... for a brighter future







A U.S. Department of Energy laboratory managed by The University of Chicago Investigation of quasicontinuum-like model reduction approaches in material science.

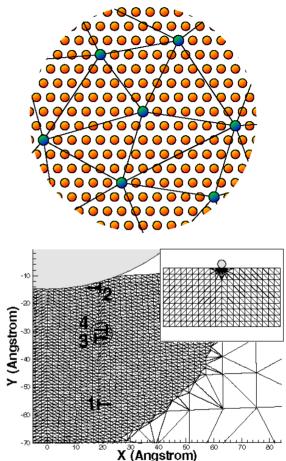
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Presented at CMMSE/NADAY 2007

#### Motivation: Quasicontinuum (QC) Methods in Material Science

- QC: multiscale model reduction method for the simulation (energy minimization with respect to atomic position) of crystalline solids described with *potentials*. (Tadmor, Ortiz et a, 96+I)
- In regions of small deformation, positions of "nonrepresentative" atoms are expressed by interpolation of positions of "representative" (local if cut-off) atoms, positioned at nodes of a macro mesh. In "interesting regions" mesh refined to atomic level
- Nanoindentation calculations carried out for ~10^6 atoms with only ~10^4 representative atoms, excellent agreement with full simulations.
- The idea: reduction of degrees of freedom by interpolation

 $x_2 = Tx_1, \dim(x_1) << \dim(x_2)$ 



QC mesh and nanoindentation (Tadmor, Philips, et al.)



#### Motivation: Density Functional Theory

- One of the workhorses of modern computational chemistry.
- The issue is the resolution of the problem (followed by min wrt.  $\{R_A\}$  )

$$\min_{\hat{\rho} \ge 0, \int \hat{\rho} = N} E_{tot} \left[ \hat{\rho} \right] = E_{ne} \left[ \hat{\rho} \right] + J \left[ \hat{\rho} \right] + K \left[ \hat{\rho} \right] + T \left[ \hat{\rho} \right]$$

Here, p is the electronic density and

$$J[\rho] = \frac{1}{2} \int \int \frac{\rho(\mathbf{r}) \ \rho(\mathbf{r}')}{\|\mathbf{r} - \mathbf{r}'\|} \, \mathrm{d}\mathbf{r} \, \mathrm{d}\mathbf{r}' \quad E_{ne}(\mathbf{r}; \{\mathbf{R}_A\}) = -\sum_{A=1}^M \int \frac{Z_A \ \widehat{\rho}(\mathbf{r})}{\|\mathbf{r} - \mathbf{R}_A\|} \, \mathrm{d}\mathbf{r}$$

DFT approaches differ in the way they approximate kinetic energy and exchange energy. In orbital-free (OFDFT) approaches the functionals are explicitly available, not so in the generally more accurate Kohn-Sham.

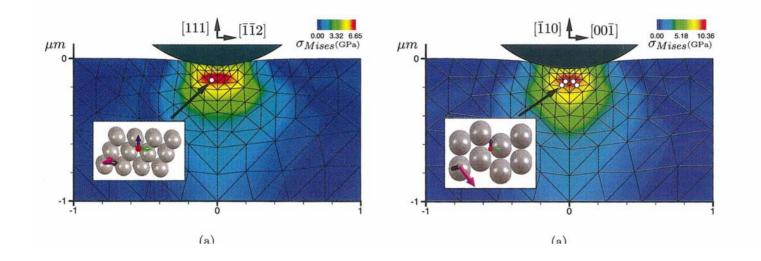
Simplest OFDFT Thomas-Fermi. Only for validation of model reduction.

$$T[
ho(\mathbf{r})] = C_F \int 
ho^{\frac{5}{3}}(\mathbf{r}) \, \mathrm{d}\mathbf{r} \quad K[
ho(\mathbf{r})] = -C_X \int 
ho^{\frac{4}{3}}(\mathbf{r}) \, \mathrm{d}\mathbf{r}$$

The main limitation: number of atoms and electrons that can be simulated
 Question: Can a QC-like approach be defined in regions of small deformation and result in model reduction with reasonable accuracy?



## Partial answer: DFT based local QC (Fago et al., 04)



- Each representative atom is surrounded by a DFT box.. The electronnucleus interaction is computed by PBC with the infinite crystal deformed according to the local interpolation rule. (DNS outside reach).
- Problem: the mesh cannot be deformed to the point where DFT boxes interact. So the simulation stops with "initiation of nanoindentation"
- Secondary problem: The problem does not capture the migration of electrons that could accompany such defects.
- Challenge: Move reduction beyond PBC.



### Why so many atoms?—radiation damage simulation

- The "small deformation of crystal in a large domain" appears in many interesting applications
- Radiation effects/ radiation damage in materials used in nuclear/fusion reactors. Simulation of primary knockoff followed by the "cascade" (Stoller,00) shows "slightly perturbed crystal most places" is a very good hypothesis.
- DO WE NEED REPRESENTATION OF ELECTRONIC STRUCTURE? – YES!! Accurate potential approximation do not exist for many materials and configurations especially ones in reactor apps. But DNS impossible: 10^3 atoms all we can do currently (at least with KS) – WE NEED 10^7!

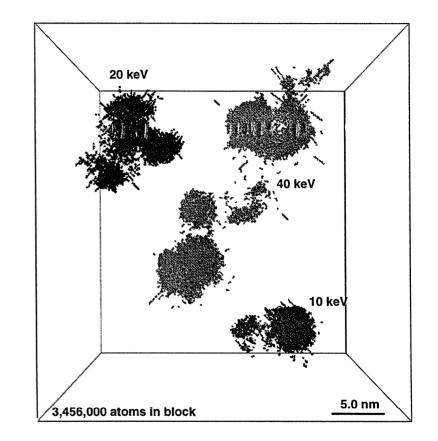
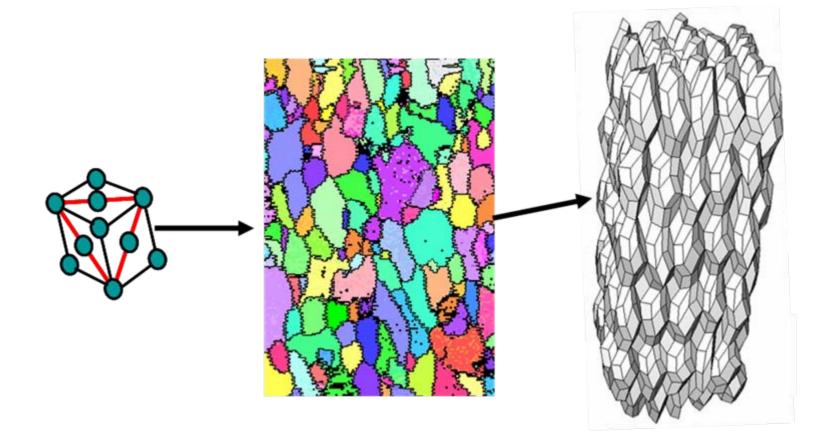


Fig. 4. Illustration of increasing subcascade formation in iron at 100 K as the MD cascade energy increases from 10 to 40 keV.



#### Why so many atoms? –Nanoscale properties of materials

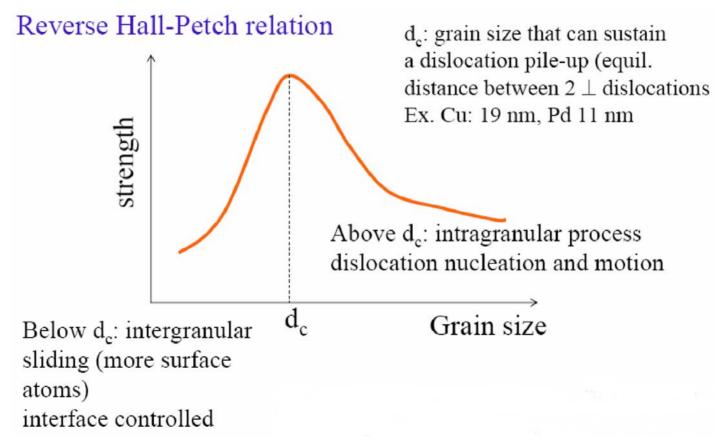
Most metals are polycristalline, with nm size grains (Laurent Delannay)





## Why so many atoms?—Properties of Materials depend strongly on structure at nanoscale

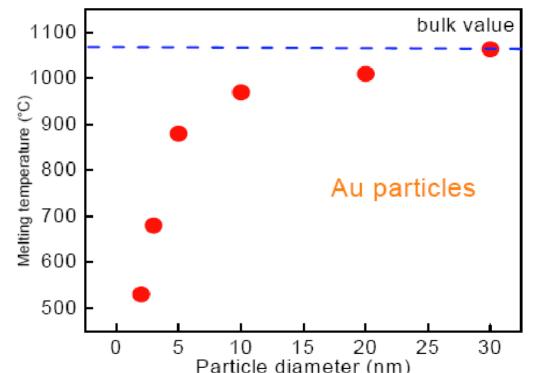
Physical and chemical properties are most sensitive in the nm range grain size (Anter El-Azab lecture notes).





# Why so many atoms?—Properties of Materials depend strongly on structure at nanoscale

Au nanoparticle melting point



To understand properties of such materials, we must simulate at the tens of nm scale– either a few particles or continuum simulation will result in inaccurate predictions. Need millions of atoms simulations, unreachable by DNS with DFT.



#### **Colaborators**

- Dan Negrut (Mech Eng, Wisconsin, primary code developer when ANL Postdoctoc in Jan-Dec 2005)
- Anter-El-Azab (Florida State, Material Science)
- Peter Zapol (Argonne, Material Science)
- Steve Benson (Towers Perrin).
- Emil Constantinescu (grad stud VPI).
- Toby Heyn (undergrad stud Wisconsin).



### **Outline**

- Objective 1: Analysis and variants of interpolation-based (QC like) model reduction in material science.
- Objective 2: A QC-like model reduction approach for orbital free, density functional theory (OFDFT) electronic structure calculations
- Some implementation considerations
- Numerical validation of the DFT QC-like model reduction.
- Reasonableness of some of the assumptions.
- Conclusions, Future work.
- In talk, I will at times use "rep" for representative and "nonrep" for nonrepresentative, much like the QC literature.



## Formulation of the problem and the material science approaches

- The problem has a few "representative" degrees of freedom  $x_1$  and a lot of "nonrepresentative"  $x_2$  degrees of freedom the essence of scale separation.
- Analogous to "representative atoms" and "non-rep atoms" in "Tadmor et al."

(O)  

$$\min_{x_1,x_2} f(x_1,x_2)$$

$$g_1(x_1) = 0$$

$$g_2(x_2) = 0$$

$$g_3(x_1,x_2) = 0.$$
The functions  $g_1(x_1)$  :  $\mathbb{R}^m \to \mathbb{R}^{q_1}, g_2(x_2)$ 

$$\mathbb{P}^{n-m} \to \mathbb{P}^{q_2} \text{ and } g_2(x_1,x_2) = 0$$

 $\mathbb{R}^{n-m} \to \mathbb{R}^{q_2}$  and  $g_3(x_1, x_2) : \mathbb{R}^n \to \mathbb{R}^{q_3}$  are the constraint functions, which, together with the objective function  $f(x_1, x_2) : \mathbb{R}^n \to \mathbb{R}$ , are twice continuously differentiable.



#### The two types of reduced problems

- The essential observation is that  $x_2 \approx Tx_1$  is a very good approximation for small perturbations of crystalline structure.
- Approach 1: Interpolate and optimize (energy-based: insert constraint, write OC)

(RO) min<sub>x1</sub> 
$$f(x_1, Tx_1)$$
  
s.t.  $g_1(x_1) = 0$   
 $g_3(x_1, Tx_1) = 0.$ 

Approach 2: Optimize and Interpolate (force-based: write OC, insert constraint)

(RE)  

$$\begin{array}{c} \nabla_{x_1} f(x_1, Tx_1) + \nabla_{x_1} \langle g_3(x_1, Tx_1), \lambda_3 \rangle + \\ \nabla_{x_1} \langle g_1(x_1, Tx_1), \lambda_1 \rangle &= 0 \\ g_1(x_1) &= 0 \\ g_3(x_1, Tx_1) &= 0 \end{array}$$



#### **General Assumptions for Analysis**

**Interpolation Assumption** At the optimal solution  $(x_1^*, x_2^*)$  of the problem (O),

 $\|T\left(x_{1}^{*}\right) - x_{2}^{*}\| \le \epsilon,$ 

where T is an interpolation operator.

**Regularity Assumption** The following conditions holds at solution  $(x^*, \lambda^*)$ 

· Constraint Qualification Condition (CQC): The rows of the matrices  $\nabla_x g_1(x_1)$ ,  $\nabla_x g_2(x_2)$ and  $\nabla_x g_3(x_1, x_2)$  are linearly independent.

· Second-Order Sufficient Condition (SOSC):

$$\begin{cases} \nabla_x g_1(x_1^*) \Delta x &= 0, \\ \nabla_x g_2(x_2^*) \Delta x &= 0, \\ \nabla_x g_3(x_1^*, x_2^*) \Delta x &= 0, \\ \Delta x &\neq 0 \end{cases} \Rightarrow \Delta x^T \nabla_{xx}^2 L(x^*, \lambda^*) \Delta x > 0.$$



### **Regularity results for RO problems**

Compatibility conditions for the constraints

$$J_{RO} = \begin{bmatrix} \nabla_{x_1} g_1(x_1^*) \\ \nabla_{x_1} g_3(x_1^*, Tx_1^*) + \nabla_{x_2} g_3(x_1^*, Tx_1^*)T \end{bmatrix} \text{ has full row rank}$$

$$g_1(x_1) = 0 \quad \Rightarrow \quad g_2(Tx_1) = 0, \forall x_1$$

. For example: A crystal on a plane surface.

**Theorem** There exists an  $\epsilon_0$  for which, if interpolation assumption is satisfied at  $(x_1^*, x_2^*)$ , for  $0 \le \epsilon \le \epsilon_0$ , then the problem (RO) satisfies both the SOSC and the CQC at  $x_1^*$  with multiplier  $(\lambda_1^* + S(x_1^*)^T \lambda_2^*, \lambda_3^*)$  and has a solution in a neighborhood of  $x_1^*$  (Anitescu & al., Math Prog., in press).



## Further assumptions for (RE) problems

The proof of regularity of (RE) requires two further assumptions

**Assumption (RECF)**: The constraints of the problem (O) are separable; that is,  $g_3 = \emptyset$ . Likewise, the constraints  $g_2(x_2) = 0$  are linear and satisfy

$$g_1(x_1) = 0 \Rightarrow g_2(Tx_1) = 0.$$

**Assumption HT** The Hessian of the Lagrangian function satisfies

$$\left\|\nabla_{x_2x_2}^2 L(x^*,\lambda^*)T + \nabla_{x_2x_1}^2 L(x^*,\lambda^*)\right\| \leq \epsilon.$$



### Regularity results for (RE) problem

There exists an  $\epsilon_0$  for which if the interpolation assumption and HT assumption are satisfied at  $(x_1^*, x_2^*)$ , for  $0 \le \epsilon \le \epsilon_0$ , then the problem (RE) has a nonsingular Jacobian at  $(x_1^*, \lambda_1^*)$  as well as a solution in a neighborhood of the same point  $(x_1^*, \lambda_1^*)$ . (Anitescu et al., Math Prog, in press)



## A multiscale approach for electronic density nanoscale simulations

- Representative variables: The density in the representative domains
- The interpolation operator is constructed with respect to a reference crystalline mesh

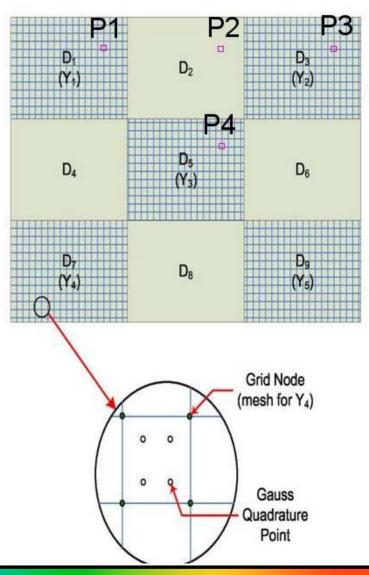
$$Y_{\alpha}$$
,  $\alpha = 1, 2, \dots, p$ 

The approach allows for deformation of the mesh when atoms are also allowed to relax (second part, not covered in our presentation).

$$\rho_i(\Phi(\mathbf{r}^0,t)) = \sum_{\alpha=1}^p \, \mathcal{G}_{\alpha}(i)\rho_{\alpha}(\Phi(\mathbf{r}^0 + \mathbf{T}_{i\alpha},t)).$$

For example, at the points selected (which are correspondent by crystalline vectors translations) we enforce that

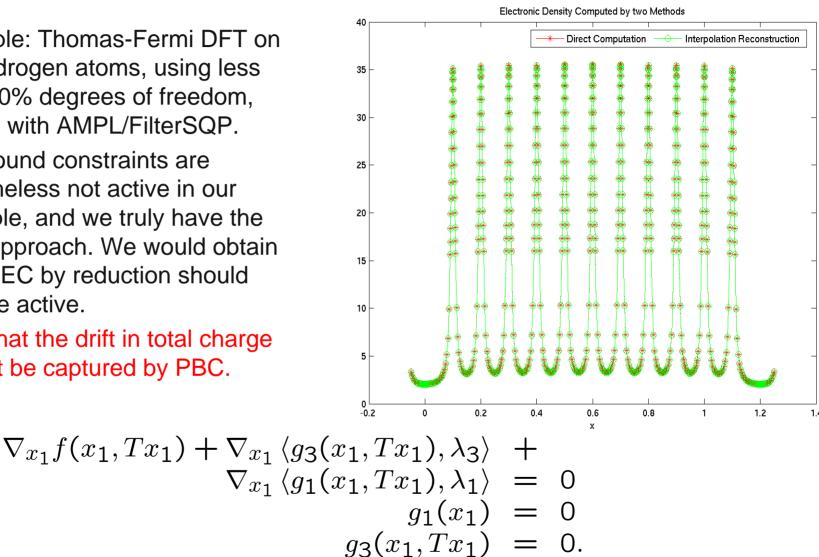
$$\rho(P2) = \frac{\rho(P1) + \rho(P3) + \rho(P4)}{3}$$





#### The reduced "equations" approach—Optimize and interpolate

- Example: Thomas-Fermi DFT on 11 Hydrogen atoms, using less than 50% degrees of freedom, solved with AMPL/FilterSQP.
- The bound constraints are nonetheless not active in our example, and we truly have the (RE) approach. We would obtain an MPEC by reduction should they be active.
- Note that the drift in total charge cannot be captured by PBC.

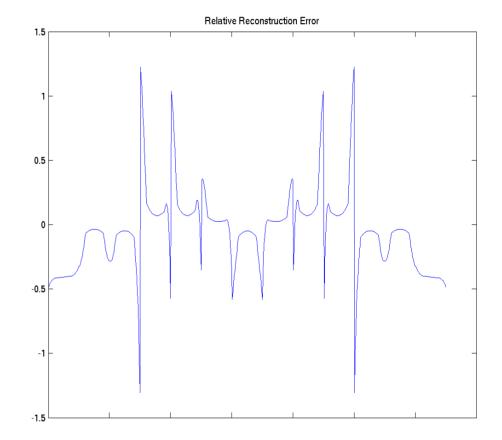




(RE)

# The reduced optimization approach. Interpolate and Optimize

- Allows us to use optimization tools, with costlier setup but more robustness.
- The maximum relative error is less than 1.5%, remarkable if we consider that we have one order of magnitude variation.



$$\min_{x_1} f(x_1, Tx_1) \\ \text{s.t.} \quad g_1(x_1) = 0 \\ g_3(x_1, Tx_1) = 0.$$



#### Interpolate and Optimize, one step further

Interpolation gives assembly rule with precomputable kernels—The "fine scale" is explored only once (Negrut, Anitescu, El-Azab, Zapol – Journal of Nanoscience and Nanotechnology-in press)

$$J(\rho) = \frac{1}{2} \sum_{\alpha=1}^{p} \sum_{\gamma=1}^{p} \int_{Y_{\alpha}^{0}} \int_{Y_{\gamma}^{0}} \tilde{K}_{\alpha\gamma}(\mathbf{r}^{0}, \mathbf{r}^{0'}) \rho_{\alpha}(\Phi(\mathbf{r}^{0}, t)) \rho_{\gamma}(\Phi(\mathbf{r}^{0'}, t)) d\mathbf{r}^{0} d\mathbf{r}^{0'}$$
$$E_{ne}(\rho) = -\sum_{\alpha=1}^{p} \int_{Y_{\alpha}^{0}} \tilde{L}_{\alpha}(\mathbf{r}^{0}) \rho_{\alpha}(\Phi(\mathbf{r}^{0}, t)) d\mathbf{r}^{0},$$
$$\int \rho d\mathbf{r} = \sum_{\alpha=1}^{p} \int_{Y_{\alpha}^{0}} \tilde{M}_{\alpha}(\mathbf{r}^{0}) \rho_{\alpha}(\Phi(\mathbf{r}^{0}, t)) d\mathbf{r}^{0}.$$

By a separation of scales argument, we can interpolate, in addition to the state variables, the functionals as well,.(see next slide)

$$T[\rho] + K[\rho] \approx \sum_{\alpha=1}^{p} \int_{Y_{\alpha}^{0}} \tilde{M}_{\alpha}(\mathbf{r}^{0}) \theta^{1}(\rho_{\alpha}, \Phi(\mathbf{r}^{0}, t)) d\mathbf{r}^{0} \approx \sum_{\alpha=1}^{p} \mathcal{W}_{\alpha}\left(T[\rho] + K[\rho]\right)_{\alpha}.$$



### **Usefulness of further approximations**

- Even if the objective function is separable, the "nonrepresentative" part must be explored. Function evaluation still expensive.
- This appears in QC as well, but handled by the fact that the pairwise potential is cut off, and only "nearby" nonrepresentative DOF are explored.
- For many functions, one can accurately interpolate the function values as well, and the same results apply.
- But this must be treated differently for different types of functions (cut-offs dependence) and difficult to formalize.

$$f(x) = f_1(x_1) + f_2(x_2) \Longrightarrow$$
$$f_{RO}(x_1) = f_1(x_1) + f_2(Tx_1)$$

$$f(x) = \sum_{i=1}^{N_{TOT}} f_0(y_i);$$
  

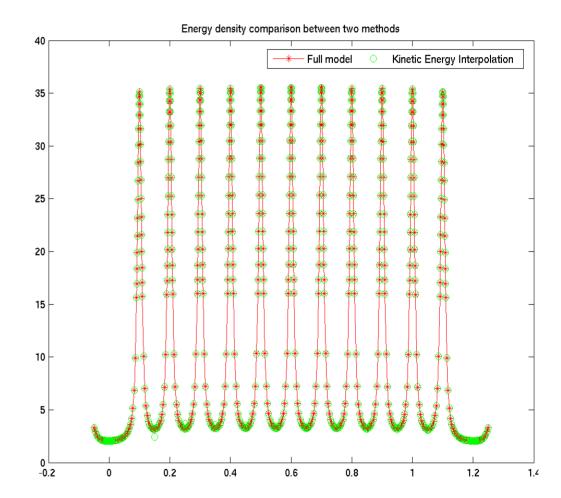
$$\left(f_0\left(y_j\right)\right)_{j \in Nonrep} \approx \left(T\left(\left\{f\left(y_i\right)\right\}_{i \in \text{Re } pr}\right)\right)_i$$
  

$$\Rightarrow f_{RO}(x) = \sum_{i \in \text{Re } pr} w_i f_0(y_i);$$



## Results for the kinetic energy interpolation approach

- 11 Hydrogen atoms.
- There are a few domain boundary artifacts but do not exceed 2% of peak.
- Investigation in superior interpolation techniques is warranted.





## Implementation – Details- (Negrut et al. JNN)

Need to evaluate integrals of the form

$$\mathcal{E} = \int \Theta[
ho(\mathbf{r})] \, \mathrm{d}\mathbf{r}$$

An assumption is made in regards to the form of  $\Theta[
ho(\mathbf{r})]$  justified by our "further approximation" discussion

 $\Theta[\rho(\mathbf{r})] = R[\rho(\mathbf{r})] \cdot L(\mathbf{r})$ 

- Example:

$$\mathcal{E} = E_{ne} = \int \rho(\mathbf{r}) \sum_{A=1}^{M} \frac{-Z_A}{|\mathbf{r} - \mathbf{R}_A|} d\mathbf{r} \quad \Rightarrow \quad \begin{cases} R[\rho(\mathbf{r})] = \rho(\mathbf{r}) \\ L(\mathbf{r}) = \sum_{A=1}^{M} \frac{-Z_A}{|\mathbf{r} - \mathbf{R}_A|} \end{cases}$$

#### Two essential steps:

- Use grid values and quadrature rules to evaluate integral
- Use interpolation to approximate  $R[
  ho(\mathbf{r})]$  in passive subdomains



#### **OF-DFT Energy Evaluation**

Integrals are evaluated using a quadrature rule:

$$\mathcal{E} = \int R[\rho(\mathbf{r})] \cdot L(\mathbf{r}) d\mathbf{r} = \sum_{i=1}^{u} \left[ \sum_{k \in Q(i)} w_{i,k} R(\mathbf{r}_{i,k}) L(\mathbf{r}_{i,k}) \right]$$

Reconstruction idea:

$$R(\mathbf{r}_{i,k}) = \sum_{\alpha=1}^{p} \nu_i^{\alpha} R_{i,k}^{\alpha}$$

Elementary manipulations lead to matrix representation:

$$\mathcal{E} = \mathcal{K}^T[L] \ R[\hat{\rho}]$$

- $\mathcal{K}[L]$  Kernel vector (constant, evaluated at beginning of simulation)
- $R[\hat{\rho}]$  Vector based on values of  $\hat{\rho}$  at grid points of reconstruction subdomains



Evaluating the TFD Energy Functionals

Kinetic Energy (kernel K)

$$T[\rho(\mathbf{r})] = C_F \int \rho^{\frac{5}{3}}(\mathbf{r}) \, \mathrm{d}\mathbf{r} = C_F \, \mathcal{K}^T \, \hat{\rho}^{\frac{5}{3}} \qquad (L(\mathbf{r}) = 1)$$

Exchange (kernel *K*)

$$K[\rho(\mathbf{r})] = -C_X \int \rho^{\frac{4}{3}}(\mathbf{r}) \, \mathrm{d}\mathbf{r} = -C_X \, \mathcal{K}^T \, \hat{\rho}^{\frac{4}{3}} \qquad (L(\mathbf{r}) = 1)$$

Electron-Nuclei interaction (kernel  $K_{en}$ )

$$E_{en}\left[\rho, \{R_A\}\right] = -\sum_{A=1}^{M} \int \frac{Z_A \,\rho(\mathbf{r})}{\|\mathbf{R}_A - \mathbf{r}\|} \,\mathrm{d}\mathbf{r} = \mathcal{K}_{en}^T \,\hat{\rho} \qquad \left(L(\mathbf{r}) = \sum_{A=1}^{M} \frac{-Z_A}{|\mathbf{r} - \mathbf{R}_A|}\right)$$

#### Electron-Electron interaction (kernel K)

$$J[\rho] = \frac{1}{2} \int \int \frac{\rho(\mathbf{r}) \ \rho(\mathbf{r}')}{\|\mathbf{r} - \mathbf{r}'\|} \, \mathrm{d}\mathbf{r} \, \mathrm{d}\mathbf{r}' = \frac{1}{2} \widehat{\rho}^T \, \mathbf{K} \, \widehat{\rho} \qquad \left( L(\mathbf{r}', \mathbf{r}) = \frac{1}{|\mathbf{r}' - \mathbf{r}|} \right)$$



#### Solving the Optimization Problem

Optimization Problem:

$$\min_{\hat{\rho} \ge 0} E = C_F \mathcal{K}^T \hat{\rho}^{\frac{5}{3}} - C_X \mathcal{K}^T \hat{\rho}^{\frac{4}{3}} + \mathcal{K}_{ne}^T \hat{\rho} + \frac{1}{2} \hat{\rho}^T \mathbf{K} \hat{\rho}$$

s.t. 0 = 
$$\mathcal{K}^T \hat{\rho} - N$$

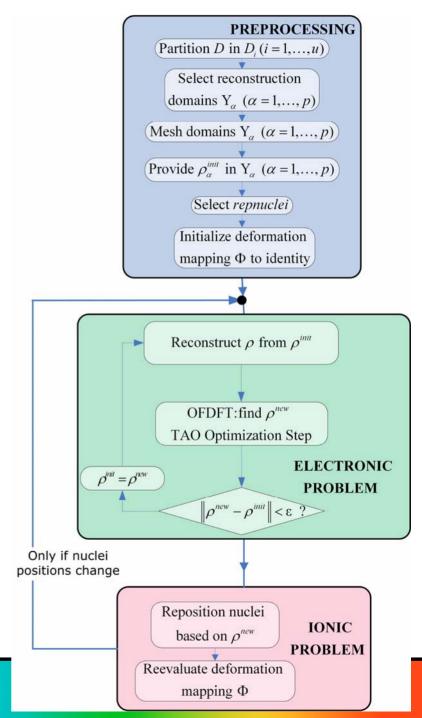
Solver used: TAO – parallel optimization solver

- Bound-constrained optimization: $\widehat{
  ho}$  should stay positive
- Penalty approach, due to charge conservation constraint and TAO does not support equality constraints yet.
- Bound-constraint limited-memory variable metric (BLMVM) TAO solver employed



## **Computational flow**

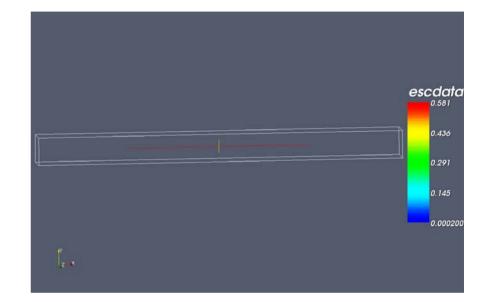
- Three stages method:
  - Preprocessing
  - Electronic Problem
  - Ionic Problem (not implemented yet)





#### Numerical Results: 3D Simulations

- Same Hydrogen string problem, but in 3D:
  - Parallel function/gradient evaluation
  - Parallel optimization solver
  - Constant mesh size
  - Dimension of problem: 35,672
  - Example run on Linux cluster, using 13 MPI processes
  - Note that both the cost per iteration and the number of iterations decreases with less active subdomains

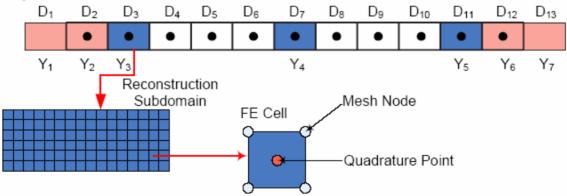


Active Subdomains	13	7	5
Number of Iterations	605	245	221
Total Energy	-14.257	-14.256	-14.256



#### **Organization of the reconstruction scheme for the** *"string" example for 7 active domains 13 total domains*

- Reduce the number of "degrees of freedom" in the energy minimization problem
  - Use an interpolation operator to express the "norep" degrees of freedom
- Example: only degrees of freedom from D<sub>1</sub>, D<sub>2</sub>, D<sub>3</sub>, D<sub>7</sub>, D<sub>11</sub>, D<sub>12</sub>, and D<sub>13</sub> are considered in the problem



Additionally, only D<sub>3</sub>, D<sub>7</sub>, D<sub>11</sub> are used to reconstruct (through interpolation) the value of the electronic density in D<sub>4</sub>, D<sub>5</sub>, D<sub>6</sub>, D<sub>8</sub>, D<sub>9</sub>, D<sub>10</sub>



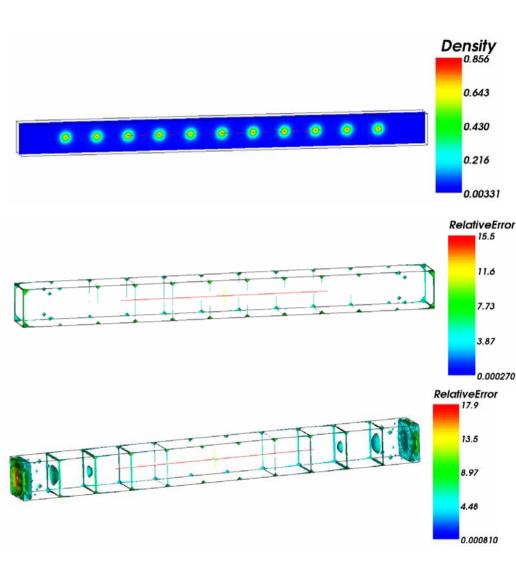


#### Numerical Results: 3D Simulations

13 parallel processes
13 active subdomains

13 parallel processes7 active subdomains

- 13 parallel processes
- 5 active subdomains





#### Slab of Hydrogen Atoms

- 25 H atoms
- 9 active subdomains
- Run on Linux cluster
- 25 MPI processes
- Uniform mesh
- 33,275 unknowns

D <sub>21</sub>	D <sub>22</sub>	D <sub>23</sub>	D <sub>24</sub>	D <sub>25</sub>
D <sub>16</sub>	D <sub>17</sub>	D <sub>18</sub>	D <sub>19</sub>	D <sub>20</sub>
D <sub>11</sub>	D <sub>12</sub>	D <sub>13</sub>	D <sub>14</sub>	D <sub>15</sub>
D <sub>6</sub>	D <sub>7</sub>	D <sub>8</sub>	D <sub>9</sub>	D <sub>10</sub>
D <sub>1</sub>	$D_2$	D <sub>3</sub>	D <sub>4</sub>	D <sub>5</sub>

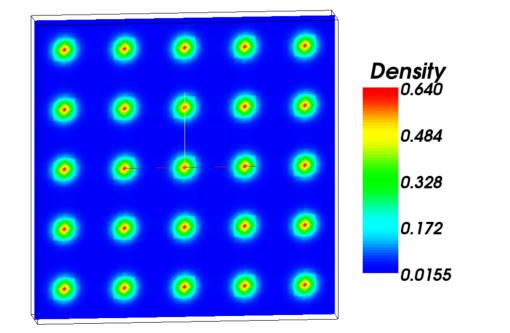
Active Subdomains	25/25	9/25
Number of Iterations	309	182*
Total Energy	-62.0421	-62.0420

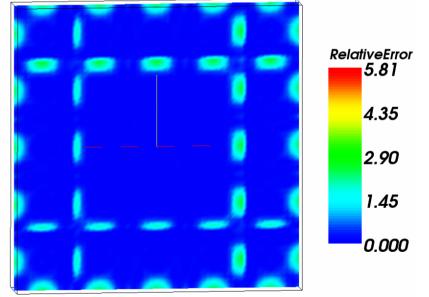


Slab of Hydrogen Atoms (Contd.)

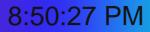
- Electronic density distribution
  - All subdomains active

- Electronic density relative error
  - 9 subdomains active









### Analysis validity in potential-based case

Problem minimize the energy function of 101 atoms with pairwise Lennard-Jones potential V; representative dof x1 and atom 61 fixed. The positions of nonrepresentative DOF are obtained by linear interpolation from positions of nearby representative DOF

 $E(x) = \sum_{1}^{A} \sum_{j>i}^{A} V(r_{i} - r_{j}),$   $x_{1} = (r_{1}; r_{2}; r_{3}; r_{4}; r_{23}; r_{42}; r_{61}; r_{80}; r_{99}; r_{100}; r_{101}),$  $g_{1}(x_{1}) = r_{61} - 61, \ g_{2}(x_{2}) = \emptyset, \ g_{3}(x_{1}, x_{2}) = \emptyset.$ 

- Problem is solved with SNOPT in through AMPL, solution of (O) takes about 10 iterations.
- It can be verified from the outset that all assumptions (RECF), (ROCF) and (CSC) concerning the constraints are satisfied.
- At the solution it turns out that (SOSC) and the assumption that the interpolation ansatz is accurate are also satisfied; which means that the well posedness of the "interpolate and optimize" (RO) problem is ensured.
- But how about the HT constraint and "optimize and interpolate"?



## Verification of the HT assumption

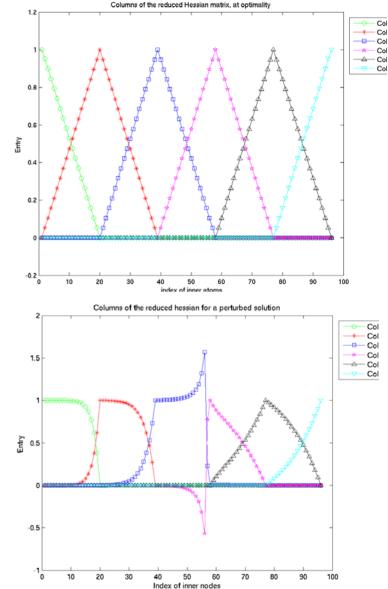
Recall, the assumption stated that

$$\left\|\nabla_{x_2x_2}^2 L(x^*,\lambda^*)T + \nabla_{x_2x_1}^2 L(x^*,\lambda^*)\right\| \le \epsilon$$

In top figure we plot the columns corresponding to DOF used in linear interpolation of

$$-\nabla_{x_2x_2}^2 L(x^*,\lambda^*)^{-1} \nabla_{x_2x_1}^2 L(x^*,\lambda^*)$$

- Note that the match with T is nearly perfect and our theory can be applied to ensure that (RE) is regular.
- But that does not follow solely from the atoms being positioned as a smooth function of the macroscale! See second figure where maximum relative interdistance perturbation is 1.6%





## Analysis applied to electronic structure problems

#### 1D example:

- HT assumption is no longer verified at optimality, though convergence and stability of the "optimize and interpolate" case (RE) can be observed.
- All assumptions for the "interpolate and optimize" case (RO) are satisfied – conclusions hold.
- **3D** example:
  - The (RE) approach was not coded.
  - The (RO) approach satisfies all assumptions except (SOSC) which we did not test, since we did not compute Hessians.
  - Note that the novelty here is also in the interpolation rule itself.



#### Conclusions and future work

- We have designed a nonlocal QC-like model reduction for DFT, and we have shown that it is accurate.
- We have given conditions for well posedness of the reduced problem, and show that they are reasonable for many configurations
- To do .. A lot
  - Test the approach for more realistic DFT approaches (OFDFT which includes gradients terms as well as Kohn Sham).
  - A lot of NA: Better Interpolation which avoid artifacts at boundary between domains; error estimator for macromesh refinement (where should I choose more repdomains); micromesh refinement …
  - Inequalities (though easy for our ansatz since redundant on nonreps).
  - Compress the long range interaction operators kernels using multipole or multiresolution, or discuss reduced Poisson Solves.
  - Determine weaker conditions of well-posedness for "optimize and interpolate"; force-based approaches.

